

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Harold Keith BRAID et al
SERIAL NO. : UNASSIGNED (PCT/GB2003/005351)
FILED : JUNE 16, 2005
FOR : A MODULAR SPRING MOUNTING FOR A SASH WINDOW
COUNTERBALANCE ARRANGEMENT

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Richard M. Goldberg
(Name of Registered Representative
and person mailing)

Richard M. Goldberg June 16,
(Signature and Date) 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant and those individuals involved in the
preparation and/or prosecution of the above-identified
Application have become aware of the following references which
the Examiner may consider material to the patentability of the
above-identified Application:

| <u>U.S. PATENT NO.</u> | <u>PATENTEE</u> | <u>DATE</u> |
|------------------------|-----------------|-------------|
| 5,353,548 | Westfall | 10-11-1994 |
| 5,365,638 | Braid et al | 11-22-1994 |
| 6,393,661 | Braid et al | 05-28-2002 |
| 6,412,144 | Braid et al | 07-02-2002 |

10/539269

JC17 Rec

CT/PTO

16 JUN 2005

PATENT NO.COUNTRYDATE

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|-----------|---------------|------------|
| 2 278 626 | Great Britain | 12-07-1994 |
| 2 295 634 | Great Britain | 06-05-1996 |
| 2 369 644 | Great Britain | 06-05-2002 |
| 2 380 758 | Great Britain | 04-16-2003 |

The relevance of the patents is discussed in the enclosed International Search Report as well as on pages 1-2 of the specification.

Copies of the four foreign patents are enclosed. However, copies of the U.S. Patents are not submitted herewith, in accordance with the notice in the United States Patent and Trademark Office OG Notices: 05 August 2003.

One Form PTO/SB/08A is enclosed, which lists the above references. It is requested that the Examiner initial this Form and return a copy thereof to the undersigned.

It is requested that the above-identified references be made of record in the present Application.

Respectfully submitted,



Richard M. Goldberg
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|--------------------|
| Application Number | 107539269 |
| Filing Date | June 16, 2005 |
| First Named Inventor | Harold Keith BRAID |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 231/1/146 |

Sheet 1 of 1

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 5,353,548 | 10-11-1994 | Westfall | |
| | | US- 5,365,638 | 11-22-1994 | Braid et al | |
| | | US- 6,393,661 | 05-28-2002 | Braid et al | |
| | | US- 6,412,144 | 07-02-2002 | Braid et al | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|--|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | GB 2 278 626 | 12-07-1994 | | | |
| | | GB 2 295 634 | 06-05-1996 | | | |
| | | GB 2 369 644 | 06-05-2002 | | | |
| | | GB 2 380 758 | 04-16-2003 | | | |
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| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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